



## Financial Assistance Award

**DENALI COMMISSION**  
510 "L" Street, Suite 410  
Anchorage, Alaska 99501  
(907) 271-1414 (phone)  
(907) 271-1415 (fax)  
[www.denali.gov](http://www.denali.gov)

Authority  
112 Stat 1854

CFDA Number  
90.100

Denali Commission Finance  
Officer Certification

*JLP*

Award Number

222-06

Award Title

FY 06 Training Projects

Performance Period

July 1, 2006 to September 30, 2008

Authorizing Resolution

06-24

**Recipient Organization & Address**

State of Alaska Department of Labor and Workforce Development  
PO Box 21149  
Juneau, AK 99802-1149  
Phone: (907) 269-4551  
Fax: (907) 269-4661

Recipient DUNS # 80-938-6550 TIN # 92-6001185

**Cost Share Distribution Table**

Accounting Code	Denali Commission	Other Contributors	Total
FY06 DOL - Training	\$6,530,832		\$6,530,832
		\$0	\$0
Total	\$6,530,832	\$0	\$6,530,832

This Financial Assistance Award approved by the Federal Co-Chair of the Denali Commission is issued in triplicate and constitutes an obligation of federal funding. By signing the three documents, the Recipient agrees to comply with the Award provisions indicated below and attached. Upon acceptance by the Recipient, two signed Award documents shall be returned to the Federal Co-Chair of the Denali Commission and the Recipient shall retain the third document. If not signed and returned without modification by the Recipient within 30 days of receipt, the Federal Co-Chair may unilaterally terminate this Award.

- ☒ Special Award Conditions and Attachments
- ☐ Line Item Budget
- ☒ OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations  
(<http://www.whitehouse.gov/omb/circulars/a133/a133.html>)

**Administrative Requirements (check one)**

- ☒ OMB Circular A-102, Grants and Cooperative Agreements with State and Local Governments  
(<http://www.whitehouse.gov/omb/circulars/a102/a102.html>)
- ☐ 2 CFR Part 215, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations (OMB Circular A-110)  
([http://www.access.gpo.gov/nara/cfr/waisidx\\_05/2cfr215\\_05.html](http://www.access.gpo.gov/nara/cfr/waisidx_05/2cfr215_05.html))

**Cost Principles (check one)**

- ☒ OMB Circular A-87, Cost Principles for State, Local and Indian Tribal Governments  
([www.whitehouse.gov/omb/circulars/a087/a087-all.html](http://www.whitehouse.gov/omb/circulars/a087/a087-all.html))
- ☐ OMB Circular A-122, Cost Principles for Nonprofit Organizations  
([www.whitehouse.gov/omb/circulars/a122/a122.html](http://www.whitehouse.gov/omb/circulars/a122/a122.html))
- ☐ OMB Circular A-21, Cost Principles for Educational Institutions  
(<http://www.whitehouse.gov/omb/circulars/a021/a021.html>)
- ☐ 48 CFR 31.2, Contracts with Commercial Organizations

Signature of Authorized Official - Denali Commission

*George J. Canelos*

Typed Name and Title

George J. Canelos, Federal Co-Chair

Date

8-2-06

Signature of Authorized Official - State of Alaska  
Department of Labor and Workforce Development

*Greg O'Claray*

Typed Name and Title

Greg O'Claray, Commissioner

Date

8/4/06

## ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee - 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL

Greg O'Clary

TITLE

Commissioner

APPLICANT ORGANIZATION

Alaska Department of Labor and Workforce Development, 222-06

DATE SUBMITTED

8/4/06

***Award Conditions to the Financial Assistance Award  
Between the Denali Commission and Alaska Department of Labor and  
Workforce Development  
For Fiscal Year 2006 Training Projects  
Award No. 222-06  
August 2006***

***1. Scope of Work***

This document establishes the Scope of Work for the financial assistance award made by the Denali Commission (hereinafter referred to as the Commission) to the State of Alaska Department of Labor and Workforce Development (hereinafter referred to as the DOL).

DOL will manage the Commission's Fiscal Year 2006 Training Program, which is a total of \$6,530,832.

The Commission's FY 06 Training Program encompasses 5 Commission-directed training projects, 1 Request for Proposals and the continuation of the Denali Training Fund in the following funding amounts:

<b>Training Project Name</b>	<b>Organization</b>	<b>Funding Amount</b>
Construction Training and Employment Activities	Alaska Works Partnership	\$1,250,000
Allied Health Career Training	University of Alaska	\$1,260,000
Career Skill Assessment	Associated General Contractors	\$250,000
Internship and Fellowship Program	First Alaskans Institute	\$230,000
Denali Training Fund	State of Alaska Department of Labor and Workforce Development	\$1,390,832
Youth Initiative Request for Proposals	State of Alaska Department of Labor and Workforce Development	\$850,000
Administration of Denali Training Fund, identified projects and RFGAs	State of Alaska Department of Labor and Workforce Development	\$300,000
Technology training and administration	Cape Fox Heritage Foundation	\$1,000,000
	<b>Award Total</b>	<b>\$6,530,832</b>

***Commission-Directed Training Projects***

Through formal grant agreements, DOL will provide funding in the amounts identified above to Commission-directed training projects, including Alaska Works Partnership, Associated General Contractors, University of Alaska, Cape Fox Heritage Foundation, and First Alaskans Institute. As part of these formal grant agreements, the DOL will establish a detailed scope of work, financial guidelines, reporting requirements, detailed line item budgets, and performance measurements for each of these training projects.

## Alaska Department of Labor and Workforce Development

The DOL will ensure that all organizations are held to 5% maximum of administrative costs. The DOL will encourage and document all cost sharing from these organizations, which will be reported to the Denali Commission Project Database (see 7a). The DOL will receive approval from the Commission Program Manager before finalizing grant agreements for the Commission directed projects.

***Denali Training Fund and Requests for Proposals***

The DOL will make available a total of \$1,390,832 in training funds through the continuation of the Denali Training Fund (hereinafter referred to as the DTF) via a competitive application process. This process will include an independent review committee assembled by the DOL and approved by the Commission Program Manager. The Denali Training Fund will provide grants to rural communities, businesses, and organizations for training activities that complement qualified infrastructure projects. Applications for these funds shall be accepted quarterly, due by the 15<sup>th</sup> day of the month in June, September, December, and March.

- **Denali Commission Project Specific Training** – The DOL will give priority to training for Denali Commission-related infrastructure projects.
- **Other Public Infrastructure Projects** – This includes training for local residents to be employed on other public infrastructure projects, such as the construction, operation, and maintenance of airports and roads; utilities such as water and sewer; or health and community facilities. It may also include management training or leadership and capacity-building training. Training money for work on other public infrastructure projects is awarded after funding for training on Denali Commission infrastructure projects.

In addition to the Denali Training Fund, DOL will administer a Request for Grant Application process (hereinafter referred to as an RFGA) which will make available \$850,000 for Youth training proposals (Youth Initiatives). This RFGA will be implemented as outlined in the *DOL FY06 Training Plan*. Any remaining funding from the RFGA will be provided to the Denali Training Fund or other projects as directed by the Denali Commission.

The DOL will, at a minimum, undertake the activities described in the following sections for the implementation and administration of the DTF and the RFGA:

- The DOL will provide appropriate financial assistance documents and forms to be used in distributing money from the DTF and RFGA to sub-recipients.
- The DOL will provide appropriate notice of the availability of the DTF and RFGA and will establish procedures by which organizations may apply for a financial assistance award. This will include the establishment of appropriate criteria for determining whether or not an entity is eligible for assistance and whether or not the assistance should be provided.

- In making awards from the DTF and RFGA, the DOL will notify the Commission Program Manager of the intent to award to all sub-recipients. Notices of award will be issued after notification to the Program Manager unless otherwise notified by the Program Manager.
- The DOL will negotiate appropriate terms and conditions of the financial assistance awards with the successful applicants of the DTF and RFGA. This shall include a performance-based financial assistance award. The DOL is directed to deny funding on any current or future award recipient that is not meeting, or did not meet, performance standards. Awards also shall include specification of the scope of work, project budget, timeframes, and performance measure. The DOL and the successful applicant will execute Agreements in a timely manner. The DOL will, as necessary or as requested, negotiate and execute amendments to the grant scope of work, timeframes and expected outcomes.
- Periodic progress and financial reports on the use of the funds will be required by the DOL for all sub-recipients. The DOL will receive, review and approve the reports. This information will be provided to the Commission by DOL through the use of the Denali Commission Project Database on a quarterly basis.
- The DOL will monitor the progress of all funding recipients through general oversight activities. The DOL will also monitor the success of recipients through DOL-established outcome measures for each financial assistance recipient. This may also include on-site review and evaluation of the recipient.
  - In consultation with the Denali Commission, the DOL will establish expected outcomes and performance standards for the various projects. In addition, the DOL shall maintain a Management Information System to track the training and employment outcomes of the DTF.
- The DOL will close out agreements with recipients within 90 days of their completion or, if necessary, when the recipient's performance or activities are contrary to the intended purposes of the award. The DOL will receive, review and approve final progress and financial reports. The DOL will return any unexpended and uncommitted award money to the DTF for future successful applicants.

All Commission funding is intended for use for the scope of work identified in the Award document only. Any balance of funds remaining after the performance period has ended will be returned to the Denali Commission.

## **2. Milestones**

The following milestones are identified as the major steps to be completed as part of the project. As part of each progress report, recipients shall update the progress toward meeting these milestones (see section 7, Reporting). The DOL will input milestones for all Commission-identified projects and all successful applicants to the DTF and the RFGA.

Milestone	Planned		Actual		Units	Total Cost At Completion
	Start Date	End Date	Start Date	End Date		
In-Progress					0	\$0.00
Project Close-out					0	\$0.00

## **3. Award Performance Period**

The Award performance period is July 1, 2006 through September 30, 2008. This is the period during which Award recipients can incur obligations or costs against this Award.

## **4. Direct and Indirect Costs**

The cost principles of OMB A-87 are applicable to this Award. Administrative funding is provided in the amount of \$300,000. Indirect costs incurred by DOL are to be charged to this \$300,000 in administration funding. All sub-recipients are limited to 5% in indirect costs or direct administrative costs. Please refer to the cost principles regulations for specific details on other allowable charges under this Award.

## **5. Budget and Program Revisions**

The Administrative Circular, OMB A-102, applies to this Award. Please refer to the Administrative Circular for specific details on revisions to this Award. The Administrative Circular requires that the DOL will inform the Commission in writing (e-mail, letter, or report) at the earliest possible date of any unanticipated project cost overrun, project schedule delays, or changes in the project scope or changed site conditions.

## **6. Payments**

Payments under this Award will be made through the U.S. Department of Treasury's Automated Standard Application for Payment (ASAP) system. The ASAP system is the Commission's mechanism for requesting and delivering Federal funds to Award recipients. The DOL must be registered with the ASAP program in order to make drawdowns. Please contact the Commission's Finance Manager at (907)-271-1414 for further information about registering with the ASAP program. Payments shall be made in accordance with OMB Circular A-87. **No interest will be accrued on these funds.**

## **7. Reporting**

Three forms of project reporting are required under this Award, listed below. The DOL shall submit reports using the Denali Commission's on-line Project Database System, available at [www.denali.gov](http://www.denali.gov). If there are technical limitations which may prevent the recipient from meeting this requirement, please contact the Program Manager listed in this agreement.

- a. **Progress Reports** shall be submitted on a quarterly basis. The first reporting period is July 1, 2006 through September 30, 2006, and quarterly thereafter. Reports are due within 30 days of the end of the reporting period. Progress reports shall include the following:
  - i. Total project funding, including both Denali Commission funding and other project funding sources.
  - ii. The total project expenditures for the project as of the end of the reporting period, including both Denali Commission and Other funding sources.
  - iii. Updated schedule and milestone information as identified in the Scope of Work
  - iv. Narrative summary of the project status and accomplishments to date, and addressing the following questions: is the project on schedule, is the project on budget, and what actions are planned to address any project problems.
  - v. Non-Construction Projects: For minor repair and renovation projects or other non-construction projects, "before" and "after" pictures should be provided, or photos that are representative of the funded activity, to the extent possible. Photos shall be provided in a digital format as part of the on-line report. A short description of the activity and names of those in the photos shall also be provided.
- b. **Standard Form 269A Financial Status Report – Short Form (SF269A)** shall be submitted on a quarterly basis via fax, e-mail or mail. As with the Progress Reports, the SF269A is due within 30 days of the end of the quarter and a final SF269A is due within 90 days of the end of the Award Performance Period. Please note the following:
  - i. This report cannot yet be submitted through the Denali Commission On-line Project Database and therefore must be submitted via fax, e-mail or mail.
  - ii. This form is available on the Internet at <http://www.whitehouse.gov/omb/grants/sf269a.pdf>. A link to this site is also available on the Commission's website, [www.denali.gov](http://www.denali.gov), under the "Finance" section. A Guide to Completing the SF269A can also be found on the Denali Commission's website under the "Finance" section.
  - iii. The SF269A may be filled out electronically using the above link. However, the completed SF269A must be printed and signed, and then the completed SF269A must be submitted to the Deputy Director of Administration via mail, fax or as a PDF attachment to an e-mail ([nmerriman@denali.gov](mailto:nmerriman@denali.gov)).

- iv. If you have multiple projects under a single Financial Assistance Award, the SF269A is required ONLY for the award as a whole, not for the individual projects.
- c. **Federal Single Audits** shall be submitted annually in accordance with OMB Circular A-133, which states [subpart 200]: "Non-Federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or program-specific audit conducted for that year in accordance with the provisions of this part. [subpart 205]: The determination of when an award is expended should be based on when the activity related to the award occurs."

Recipients shall also submit single audits to the Federal Clearinghouse designated by OMB. Information can be found on the following web-site:

<http://harvester.census.gov/sac/>

## **8. Award Close-Out**

The award close-out shall be completed within 90 days of the end of the Award performance period or within 90 days of the completion of all projects, whichever is earlier. Recipients must also draw down any remaining funds for expenditures incurred under this award during this 90-day period.

The Award Close-out report shall be submitted through the Denali Commission's on-line Project Database System, available at [www.denali.gov](http://www.denali.gov). The project close-out will require the recipient to submit the following information:

- a. Final data for each item listed in paragraph 7(a) "Progress Reports"
- b. Final project expenditures itemized by the following categories: planning & design; materials & equipment; freight; labor; project administration/overhead and other expenses.
- c. Acknowledgement of support: For all non-construction projects, the Award recipient shall include an acknowledgement of the Government's support for the project(s) developed under this Award. Where appropriate, the DOL will identify the Commission's financial support for the DTF, RFGA and Commission-directed training projects in printed material, reports, advertisements, announcements, requests for proposals or other correspondence. Costs associated with this requirement shall be paid out of the administrative funding (\$300,000) received by the DOL from the Denali Commission.

## **9. Public Policy Laws and Assurances**

Award Recipients are required to comply with the public policy laws and assurances on Standard Forms SF 424b (non-construction projects) or SF 424d (construction projects). This form must also be signed by a certifying official of the organization. Some of the laws are highlighted below for your reference.

## Alaska Department of Labor and Workforce Development

- To the maximum extent practicable, considering applicable laws, Funding Recipients shall accomplish the project contemplated by the Award using local Alaska firms and labor.
- No portion of this award may be used for lobbying or propaganda purposes as prohibited by 18 U.S.C. Section 1913 or Section 607(a) of Public Law 96-74.
- Project-level environmental reviews in accordance with the National Environmental Policy Act (NEPA) and the National Historic Preservation Act (NHPA) are required for each project undertaken with Denali Commission funds.

***10. Non-Compliance with Award Conditions***

Recipients not in compliance with the terms and conditions of this Financial Assistance Award will be notified by the Denali Commission. The Denali Commission will work with the recipient to identify the steps necessary to bring them back into compliance and establish an appropriate time frame for the corrections to be made. If the corrections have not been made by the deadline, the Denali Commission reserves the right to either suspend or unilaterally terminate the Financial Assistance Award for non-performance.

***11. Program Manager, Financial Manager & Other Contact Information***

<b>Denali Commission</b>	<b>Alaska Department of Labor and Workforce Development</b>
Rayna Swanson Training Program Manager 510 L Street, Suite 410 Anchorage, AK 99501 Phone: 907-271-4990 Fax: 907-271-1415 E-mail: <a href="mailto:rswanson@denali.gov">rswanson@denali.gov</a>	Gerry McDonagh Project Manager Business Partnership Division 1016 W 6 <sup>th</sup> Ave, Suite 105 Anchorage, AK 99501 Phone: 907-269-4551 Fax: 907- 269-4661 Email: <a href="mailto:Gerry_McDonagh@labor.state.ak.us">Gerry_McDonagh@labor.state.ak.us</a>
Joey Ausel Grants Manager & Analyst 510 L Street, Suite 410 Anchorage, AK 99501 Phone: 907-271-3415 Fax: 907-271-1415 E-mail: <a href="mailto:jausel@denali.gov">jausel@denali.gov</a>	Carol A Schumacher Grants Administrator Business Partnership Division 1016 W 6 <sup>th</sup> Ave, Suite 205 Anchorage, AK 99501 Phone: 907-269-4658 Fax: 907- 269-4661 Email: <a href="mailto:carol_schumacher@labor.state.ak.us">carol_schumacher@labor.state.ak.us</a>